

Account Application Requirement – Individual/Joint Account

Proof of Identification

Original or certified copy of **ONE** of the following as proof of Identification:

- Current signed Passport (**if you are not a UK national the Passport requirement with a valid visa is mandatory**)
- EEA member state identity card
- Northern Ireland voter's card
- Current full UK Driving licence
- Shotgun or firearms certificate

Proof of Address

Original or certified copy of **ONE** of the following as proof of address:

- Utility bill (less than 3 months old)
- Local authority tax bill (valid for the current year)
- Current full UK Driving Licence (if not used for proof of Identification)
- Bank, building society or credit union statement (less than 3 months old) or passbook containing current address
- Most recent mortgage statement
- Electoral register correspondence confirming that a person of that name lives at the given address
- HMRC correspondence (P45 and P60 not acceptable)
- Local council rent card or tenancy agreement

In order for the bank to accept certified documents, the following must be stated:

- Certified to be true copy of the original seen by me
- The name and signature of the professional certifying the documents
- The date on which the documents were certified
- The professional's occupation

Documents can be certified by a Solicitor, Lawyer, Accountant, Banker, Doctor or Dentist

If you are unable to provide the documents mentioned above, please contact the Bank on 0121 753 6000 Monday to Friday 09.15 to 17.15, excluding Bank Holidays for further assistance.

FIRST APPLICANT (Please complete all section in BLOCK Capitals)

Section 1 - Personal Information

1. Title and Surname Mr/Mrs/Miss/Ms/Other

2. Forenames
(including middle names)

3. Date of Birth

4a. Current Residential Address

Post Code Country

b. Date of Entry to this address
(eg. 01/06/2005)

if less than 3 years, please tell us your last address

Post Code Country

Please attach a separate sheet if you have lived in more than 2 addresses in the last 3 years and state dates of entry.

5. Country of Permanent residence

6. Home Phone number (including country code)

Mobile number (including country code)

7. Email Address

8a. Nationality

8b. Dual Nationality (if yes, state country) 9. Place of Birth (town/city)

10. Country of Birth

11a. Do you have residence in the USA Yes No

If yes, please provide the address

b. Have you ever held a USA Green Card? Yes No

c. Are either of your parents born in the USA or were USA Citizens? Yes No

If Yes, please state your social security number

Section 2 - Country of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number (TIN)

1. Please complete the following table indicating (i) where the Account Holder is a tax resident and (ii) the Account Holder's TIN for each country indicated.

If you are tax resident in more than three countries please use a separate sheet

If a TIN is unavailable please provide the appropriate reason **A, B** or **C** where indicated below:

Reason A - The country where you are liable to pay tax does not issue TINs to its residents

Reason B - You are otherwise unable to obtain a TIN or equivalent number (please explain why you are unable to obtain a TIN in the below table if you have selected this reason)

Reason C - No TIN is required. (Note. Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed)

	Country of tax residence	TIN	If no TIN available enter Reason A, B or C
1			
2			
3			

Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason **B** above.

1	
2	
3	

Section 3 - Other Information

- Do you have any other accounts with UBL UK? Yes No
If yes, please state account number - -
- Security Questions? (used to verify your identity and when registering for Internet and Mobile banking)
 - QUESTION 1 Mother's Maiden Name Answer 1
 - QUESTION 2 Name of First School Answer 2
 - QUESTION 3 Favourite Famous Person Answer 3
- Tick the box that applies to you Home owner Living with parents Rented Other/Please specify _____
- Tick the box that applies to you Single Married Divorced or Separated Widowed
- Tick the box that applies to you Employed Self-employed Unemployed
 Student Retired Other/Please specify _____

Section 4 - Employment (for employed and self-employed applicants)

- Your Occupation
- Your employer's name and address (or your business name and address if you are Self Employed)

 Post Code Country
- Daytime Phone no. (including country code)
- How long have you been with the present employer or in your business? Years Months
- How is your salary paid? Direct into your bank By cheque In cash
- What is your annual gross income in £'s? £

SECOND APPLICANT (Please complete all section in BLOCK Capitals)

Section 1 - Personal Information (To be completed if you are applying for a joint account)

1. Title and Surname Mr/Mrs/Miss/Ms/Other

2. Forenames
(including middle names)

3. Date of Birth

4a. Current Residential Address

Post Code Country

b. Date of Entry to this address
(eg. 01/06/2005)

if less than 3 years, please tell us your last address

Post Code Country

Please attach a separate sheet if you have lived in more than 2 addresses in the last 3 years and state dates of entry.

5. Country of Permanent residence

6. Home Phone number (including country code)

Mobile number (including country code)

7. Email Address

8a. Nationality

8b. Dual Nationality (if yes, state country) 9. Place of Birth (town/city)

10. Country of Birth

11a. Do you have residence in the USA Yes No

If yes, please provide the address

b. Have you ever held a USA Green Card? Yes No

c. Are either of your parents born in the USA or were USA Citizens? Yes No

If Yes, please state your social security number

Section 2 - Country of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number (TIN)

1. Please complete the following table indicating (i) where the Account Holder is a tax resident and (ii) the Account Holder's TIN for each country indicated.

If you are tax resident in more than three countries please use a separate sheet

If a TIN is unavailable please provide the appropriate reason **A, B** or **C** where indicated below:

Reason A - The country where you are liable to pay tax does not issue TINs to its residents

Reason B - You are otherwise unable to obtain a TIN or equivalent number (please explain why you are unable to obtain a TIN in the below table if you have selected this reason)

Reason C - No TIN is required. (Note. Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed)

	Country of tax residence	TIN	If no TIN available enter Reason A, B or C
1			
2			
3			

Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason B above.

1	
2	
3	

Section 3 - Other Information

1. Do you have any other accounts with UBL UK? Yes No

If yes, please state account number - -

2. Security Questions? (used to verify your identity and when registering for Internet and Mobile banking)

2.1 QUESTION 1 Mother's Maiden Name Answer 1

2.2 QUESTION 2 Name of First School Answer 2

2.3 QUESTION 3 Favourite Famous Person Answer 3

4. Tick the box that applies to you Home owner Living with parents Rented Other/Please specify _____

5. Tick the box that applies to you Single Married Divorced or Separated Widowed

6. Tick the box that applies to you Employed Self-employed Unemployed
 Student Retired Other/Please specify _____

Section 4 - Employment (for employed and self-employed applicants)

1. Your Occupation

2. Your employer's name and address (or your business name and address if you are Self Employed)

Post Code Country

3. Daytime Phone no. (including country code)

4. How long have you been with the present employer or in your business? Years Months

5. How is your salary paid? Direct into your bank By cheque In cash

6. What is your annual gross income in £'s? £

Section 5 - Agreement of Declaration

Your agreement with us:

By signing below, you, the individual(s) named in this form are:

- (1) confirming you are applying to the Bank for banking services;
- (2) confirming that any details you have supplied to us are true and complete;
- (3) authorising us to:
 - (i) make credit reference and other enquiries in connection with this application in accordance with our normal procedures; and
 - (ii) disclose information to licensed credit reference agencies and otherwise in accordance with the relevant conditions of our General Terms and Conditions.
- (4) confirming that you understand that credit reference agencies record information and the information they record may be used by other organisations to help make decisions about credit and for fraud prevention, debtor tracing, debt recovery and to check a customer's identity to prevent money laundering;
- (5) agreeing to be bound by the terms of the application and the Bank's Personal Banking Terms and Conditions which contain your obligations to us and our obligations to you which may be altered from time to time;
- (6) confirming that you understand that UBL UK can decline your account application or close your account at any time.
- (7) confirming that you understand how UBL UK will collect and use your data and that this will be as defined in the Bank's Privacy Policy and Personal Banking Terms and Conditions. In addition, confirming that you consent for UBL UK to collect and use your data in the manner described in these documents.

For Joint Accounts only:

- (A) You agree that we may debit your joint Account with cheques and other forms of instructions for payment authorised by any one of you as detailed in our Personal Banking Terms and Conditions.
- (B) You agree that we will send regular statements of Account to only one of you unless otherwise notified to us in writing.
- (C) Each of you agree to indemnify the Bank against any loss or damage suffered by the Bank should any information given by any of the Account holders prove incorrect.

Marketing Declaration

Your data is safe with us - we do not share your data for marketing outside UBL UK.

We promise to keep you informed with regards to our products and services that may be of specific interest to you. Please confirm below how we may contact you.

Post Yes No Telephone Yes No Email Yes No

If you choose 'No' for all these options, we will not be able to tell you about any products or services we could supply.

Declaration (please read)

I/We have received the necessary information for the account described overleaf

Individual or Joint investors; a) as sole beneficial owner. b) as joint beneficial owners,

I/We have read and understood the Terms and Conditions and FSCS information found on the UBL UK website of the account and agree to be bound by them.

Declaration and Signature

I/We understand that the information supplied by me/us is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with UBL UK setting out how UBL UK may use and share the information supplied by me/us.

I/We acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be provided to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I/We certify that I/We am/are the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates.

I/We declare that all statements made in this declaration are, to the best of my/our knowledge and belief correct, and complete.

I/We undertake to advise UBL UK within 90 days of any change in circumstances which affects the tax residency status of the individual identified in Section 1 of this form or causes the information contained herein to become incorrect, and to provide UBL UK with a suitably updated self-certification and Declaration within up to 90 days of such change in circumstances.

Signature:*

Print name:*

Date:*

Second Applicant *(Joint Account)*

Signature:*

Print name:*

Date:*

Note: If you are not the Account Holder please complete the section below. Please provide the power of attorney documentation along with certified copies of your proof of address and ID.

Name

Address

Date of Birth

D	D	M	M	Y	Y	Y	Y
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Relationship to the applicant

Mobile number
(including country code)

Home number
(including country code)

Email address: