

UBL UK Fixed Rate Cash ISA

Application Form - Tax Year ____/____

This form should be submitted if you are opening a UBL UK Fixed Rate Cash ISA. Please fill in this form using BLACK INK and in BLOCK CAPITALS. You will need to complete ALL sections. If you make any amendments please initial.

If you are transferring an existing ISA from another ISA provider, you will need to fill in UBL UK Transfer-in Form in addition to this application form.

Before you start to complete this application, please ensure you:

- Are aged 18 or over
- Are a UK resident
- Hold a transactional UK Bank Account in your name, where electronic payments can be made and received
- Have a valid mobile phone number and email address should you wish to register for Online Banking
- Meet the minimum balance requirement of £2000 to open a UBL UK Fixed Rate Cash ISA
- Fill in a UBL UK Transfer-in Form for each transfer if you are transferring an existing ISA from another ISA provider.

Please select your Fixed Rate Cash ISA and interest payment frequency option below:

Type of Fixed Rate Cash ISA

- 1 Year Fixed Rate Cash ISA ☐
- 18 Month Fixed Rate Cash ISA ☐
- 2 Year Fixed Rate Cash ISA ☐
- 3 Year Fixed Rate Cash ISA ☐
- 4 Year Fixed Rate Cash ISA ☐
- 5 Year Fixed Rate Cash ISA ☐

Interest Payment Frequency

- Monthly (available for 2, 3, 4 and 5 year terms) ☐
- Quarterly (available for 3, 4 and 5 year terms) ☐
- Annually (available for 2, 3, 4 and 5 year terms) ☐
- Maturity (All terms) ☐

(FOR UBL UK INTERNAL USE ONLY)

A/C No.

Date:

Account processed by:

Input by:

Account Application Requirement

Proof of Identification

Original or certified copy of **ONE** of the following as proof of Identification:

- Current signed Passport (**if you are not a UK national the Passport requirement with a valid visa is mandatory**)
- EEA member state identity card
- Northern Ireland voter's card
- Current full UK Driving licence

Proof of Address

Original or certified copy of **ONE** of the following as proof of address:

- Utility bill (less than 3 months old)
- Local authority tax bill (valid for the current year)
- Current full UK Driving Licence (if not used for proof of Identification)
- Bank, building society or credit union statement (less than 3 months old) or passbook containing current address
- Most recent mortgage statement
- Electoral register letter confirming that a person of that name lives at the given address
- HMRC letter (P45 and P60 not acceptable)
- Local council rent card or tenancy agreement

In order for the bank to accept certified documents, the following must be stated:

- Certified to be true copy of the original seen by me
- The name and signature of the professional certifying the documents
- The date on which the documents were certified
- The professional's occupation

Documents can be certified by a Solicitor, Lawyer, Accountant, or Actuary

If you are unable to provide the documents mentioned above, please contact the Bank on 0121 753 6000 Monday to Friday 09:15 to 17:15, excluding Weekends and Bank Holidays for further assistance.

FIRST APPLICANT (Please complete all section in BLOCK Capitals)

Section 1 - Personal Information

1. Title and Surname Mr/Mrs/Miss/Ms/Other

2. Forenames (including middle names)

3. Date of Birth

4. National Insurance Number

5a. Current Residential Address

Post Code Country

b. Date of Entry to this address (eg. 01/06/2005)
if less than 3 years, please tell us your last address

Post Code Country

Please attach a separate sheet if you have lived in more than 2 addresses in the last 3 years and state dates of entry.

6. Country of Permanent residence

7. Home Phone number (including country code)
Mobile number (including country code)

8. Email Address

9a. Nationality

9b. Dual Nationality (if yes, state country) 10. Place of Birth (town/city)

11. Country of Birth

12a. Do you have residence in the USA ☐ Yes ☐ No
If yes, please provide the address

b. Have you ever held a USA Green Card? ☐ Yes ☐ No

c. Are either of your parents born in the USA or were USA Citizens? ☐ Yes ☐ No
If Yes, please state your social security number

Section 2 - Country of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number (TIN)

1. Please complete the following table indicating (i) where the Account Holder is a tax resident and (ii) the Account Holder's TIN for each country indicated.

If you are tax resident in more than three countries please use a separate sheet

If a TIN is unavailable please provide the appropriate reason **A**, **B** or **C** where indicated below:

Reason A - The country where you are liable to pay tax does not issue TINs to its residents

Reason B - You are otherwise unable to obtain a TIN or equivalent number (please explain why you are unable to obtain a TIN in the below table if you have selected this reason)

Reason C - No TIN is required. (Note. Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed)

	Country of tax residence	TIN	If no TIN available enter Reason A, B or C
1			
2			
3			

Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason **B** above.

1	
2	
3	

Section 3 - Other Information

1. Do you have any other accounts with UBL UK? ☐ Yes ☐ No
If yes, please state account number
2. Security Questions? (used to verify your identity and when registering for Internet and Mobile banking)
- 2.1 QUESTION 1 Mother's Maiden Name Answer 1
- 2.2 QUESTION 2 Name of First School Answer 2
- 2.3 QUESTION 3 Favourite Famous Person Answer 3
4. Tick the box that applies to you ☐ Home owner ☐ Living with parents ☐ Rented ☐ Other/Please specify _____
5. Tick the box that applies to you ☐ Single ☐ Married ☐ Divorced or Separated ☐ Widowed
6. Tick the box that applies to you ☐ Employed ☐ Self-employed ☐ Unemployed
☐ Student ☐ Retired ☐ Other/Please specify _____

Section 4 - Employment (for employed and self-employed applicants)

1. Your Occupation
2. Your employer's name and address (or your business name and address if you are Self Employed)

Post Code Country
3. Daytime Phone no. (including country code)
4. How long have you been with the present employer or in your business? Years Months
5. How is your salary paid? ☐ Direct into your bank ☐ By cheque ☐ In cash
6. What is your annual gross income in £'s? £

SECTION TWO - Funding Your ISA

How do you wish to fund your ISA

- I wish to open a new ISA with UBL UK for current year subscriptions only.

(Payment method) Cheque *(Please make sure that the cheque is payable to the name of the account holder)* ☐

Bank Transfer ☐

Amount

£

- I wish to open a new ISA with UBL UK and would like to fund my ISA account by Transferring-in current year subscriptions. *(Please complete a transfer-in form)*

Transfer Amount

£

(estimated value will be accepted)

- I wish to open a new ISA with UBL UK and would like to fund my ISA account by Transferring-In current year and previous year(s) subscriptions. *(please complete transfer-in form)*

Please provide details of your nominated account for us to pay the interest to at the selected frequency.

Account Number:

Sort Code

SECTION THREE - Declaration

In order to proceed with your application you must ensure that you read and understand the HMRC declaration below. You must tick to indicate that you agree.

I declare that:

- ☐ I am 18 years of age or over
- ☐ I am applying to subscribe for a UBL UK Cash ISA for the tax year ____/____. This application is for subsequent years also until further notice
- ☐ All the subscriptions that will be made now and in the future and those made in the past belong to myself
- ☐ I have not subscribed, and will not subscribe, to more than the overall ISA subscription limit total in the same tax year. For limit details, please see our ISA terms and conditions.
- ☐ I am a resident in the UK for Tax purposes or, if not so a resident, either perform duties which, by virtue of section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership (as per couples registered under the Civil Partnership Act 2004) with a person who performs such duties. I will immediately inform you if I no longer meet such criteria
- ☐ I certify that the above information is true and correct to the best of my knowledge
- ☐ I have read, understood and agree to the product specific Terms and Conditions and the information contained in the Data Protection section of these under "Your Data".

I also authorise UBL UK

- ☐ To make any claim to relief from Tax in respect of my ISA investment on my behalf
- ☐ To hold my cash subscriptions and any interest accrued on such subscriptions

Your agreement with us:

By signing below, you, the individual named in this form is:

- (1) confirming you are applying to the Bank for banking services;
- (2) confirming that any details you have supplied to us are true and complete;
- (3) authorising us to:
 - (i) make credit reference and other enquiries in connection with this application in accordance with our normal procedures; and
 - (ii) disclose information to licensed credit reference agencies and otherwise in accordance with the relevant conditions of our General Terms and Conditions.
- (4) confirming that you understand that credit reference agencies record information and the information they record may be used by other organisations to help make decisions about credit and fraud prevention, debtor tracing, debt recovery and to check a customer's identity to prevent money laundering;
- (5) agreeing to be bound by the terms of the application and the Bank's General Terms and Conditions which contain your obligations to us and our obligations to you which may be altered from time to time;
- (6) understanding that the Bank may decline your application without stating a reason.

Marketing Declaration

Your data is safe with us - we do not share your data for marketing outside UBL UK.

We promise to keep you informed with regards to our products and services that may be of specific interest to you. Please confirm below how we may contact you.

Post Yes ☐ No ☐ Telephone Yes ☐ No ☐ Email Yes ☐ No ☐

If you choose 'No' for all these options, we will not be able to tell you about any products or services we could supply.

Declaration and Signature

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with UBL UK setting out how UBL UK may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account may be provided to the tax authorities of the country in which this account is maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account to which this form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief correct, and complete.

I undertake to advise UBL UK within 90 days of any change in circumstances which affects the tax residency status of the individual identified in Section 1 of this form or causes the information contained herein to become incorrect, and to provide UBL UK with a suitably updated self-certification and Declaration within up to 90 days of such change in circumstances.

I understand that I may be subject to a HMRC penalty of up to £300 if I intentionally decide not to provide the required information or if the information provided within this application form is found to be inaccurate.

Signature:*

Print name:*

Date:*

Note: If you would like to register for Power of Attorney, please complete the Power of Attorney Registration Form, which can be found on our website.