

Account Opening Application Form

Corporate Accounts

Type of Account: Business Current Account ☐ Business Sterling Savings Account ☐
Business Notice Account (90 days) ☐

1 Month Fixed Term Deposit Account ☐
3 Month Fixed Term Deposit Account ☐
6 Month Fixed Term Deposit Account ☐
1 Year Fixed Term Deposit Account ☐
2 Year Fixed Term Deposit Account ☐
3 Year Fixed Term Deposit Account ☐
4 Year Fixed Term Deposit Account ☐
5 Year Fixed Term Deposit Account ☐
7 Year Fixed Term Deposit Account ☐

Interest Payment Frequency: (for Fixed Term Deposit Accounts)

Monthly (available for 1, 2, 3, 4, 5 and 7 year terms) ☐
Annually (available for 1, 2, 3, 4, 5 and 7 year terms) ☐
Maturity (available for all products) ☐

Account Operation:

Single Signatory (as per mandate) ☐
Joint Signatories (as per mandate) ☐

Nominated Account Details:

(Please provide details of your nominated account for interest/principal payments)

Currency

Sterling ☐
US Dollar ☐
Other ☐

Sort Code: _____

Account Number: _____

Please specify the number of Directors holding 25% or more shares

(FOR UBL UK INTERNAL USE ONLY)

Is the Company classified as an SME? Yes ☐ No ☐

A/C No.

Document Checked:

Approved:

Date:

August 2024

Company Details (Please complete in BLOCK letters)

1	Name of Company	<input type="text"/>									
2	Country of Incorporation	<input type="text"/>									
3	Date of Incorporation	<input type="text"/>									
4	Company Registration Number	<input type="text"/>									
5	Business Activity	<input type="text"/>									
6	Trading Address	<input type="text"/>									
	Post Code	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Country	<input type="text"/>
	Telephone <small>(including country code)</small>	<input type="text"/>									
	Company Email Address	<input type="text"/>									
7	Registered Address (if different from trading address)	<input type="text"/>									
	Post Code	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Country	<input type="text"/>
8	How long has the company been at this address?	<input type="text"/>	<input type="text"/>								
		Years	Months								
9	When did/will your business start trading?	<input type="text"/>		<input type="text"/>							
		Month	Year								
10	Total number of employees	<input type="text"/>									
11	Total Annual Turnover (as of last accounting period)	<input type="text"/>									
12	Total Value of Assets on Balance Sheet (as of last accounting period)	<input type="text"/>									
13	What is the legal status of your company?	<input type="checkbox"/>	Private Limited	<input type="checkbox"/>	Public Limited						
14	If not a limited company <i>(Please tick where necessary)</i>	<input type="checkbox"/>	Sole Trader/Proprietorship	<input type="checkbox"/>	Partnership	<input type="checkbox"/>	Association/Charity/Club/Society	<input type="checkbox"/>	Trust		
15	Is this company a registered charity?	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No	If yes, Please state the registered charity number		<input type="text"/>			
16	a) Number of Directors	<input type="text"/>	<input type="text"/>	b) Number of Shareholders (25% or more)		<input type="text"/>	<input type="text"/>				
	c) Number of Officials	<input type="text"/>	<input type="text"/>								
17	Name of the company secretary?	<input type="text"/>									
18	How many employees does the company have? (excluding Directors)	<input type="text"/>	Full time	<input type="text"/>	Part time						

19 Name and address
of your Solicitors

Post Code

Country

Telephone (including country code)

20 Name and address
of your Accountant

Post Code

Country

Telephone (including country code)

21 Please state if you would like us to provide information to your accountant without your authorisation?

☐

Yes

☐

No

22 Name and address
of your present bank

Post Code

Country

23 Please provide your
account number

Sort Code

I / We have received and read the Business terms and conditions, FSCS information sheet and exclusions list governing operation of this account.

All signatories must sign:

Full Name:

Signature:

Full Name:

Signature:

Full Name:

Signature:

Full Name:

Signature:

Full Name:

Signature:

Date:

Marketing Declaration

Your data is safe with us - we do not share your data for marketing outside UBL UK.

We promise to keep you informed with regards to our products and services that may be of specific interest to you. Please confirm below how we may contact you.

Post

Yes ☐ No ☐

Telephone

Yes ☐ No ☐

Email

Yes ☐ No ☐

If you choose 'No' for all these options, we will not be able to tell you about any products or services we could supply.

Mandate by an incorporated company to open an account

To: UBL UK
2 Book Street
London
W1S 1BQ

From:
.....
.....
(‘the Company’)

1. Authority to open an account

We request and authorise you to open an account or accounts in the name of the Company.

2. Resolutions of Board of Directors

2.1 To open a bank account:

We certify that at a meeting of the Directors of the Company duly convened and held at
..... on (the Meeting) a resolution was duly passed that an
account or accounts be opened with UBL UK (the Bank)

2.2 Authority to the Bank:

We certify that at the meeting the following resolutions were duly passed:

- 2.2.1 that the Bank be authorised and instructed to honour and comply with all cheques, drafts, orders to pay bill of exchange and promissory notes expressed to be drawn, signed, accepted, endorsed or made by or on behalf of the Company, drawn upon, or addressed to, or made payable at the Bank whether any of the accounts of the Company is in credit or in debit or may become overdrawn in consequence or otherwise, but without prejudice to the Bank’s right to refuse to allow any overdraft or increase of overdraft beyond any specified limit from time to time, provided that the same are signed by
- 2.2.2 that the Bank be authorised and instructed to accept and act on any application or request for the issue of any letter of credit, guarantee, indemnity or counter-indemnity and any instructions in relation to any letter of credit, guarantee, indemnity or counter-indemnity and to act on any instructions with regard to any other transactions of any kind of the Company or with regard to any of the accounts of the Company, in every case whether such account is in credit or in debit or may in consequence become overdrawn or otherwise, but without prejudice to the Bank’s right to refuse to allow any overdraft or increase of overdraft beyond any specified limit from time to time, provided that the same are signed by
- 2.2.3 that the Bank be authorised and instructed to act on any instructions with regard to the purchase or sale of, or other dealings in, securities or documents of the Company or any foreign currency, in every case whether any of the accounts of the Company is in credit or debit or may in consequence become overdrawn or otherwise, but without prejudice to the Bank’s right to refuse to allow any overdraft or increase of overdraft beyond any specified limit from time to time, provided that the same are signed by
- 2.2.4 that the Bank be authorised and instructed to honour and comply with any orders to withdraw any or all money on any of the accounts of the Company with the Bank and with instructions to deliver, dispose of or deal with, any securities, deeds or documents or other property, including safety deposit boxes and their contents, whatsoever from time to time in the Bank’s possession for the account of the Company whether by way of security, safe custody or otherwise provided that the same are signed by
- 2.2.5 that any Director be and hereby are appointed a committee of the Board with full authority;
- 2.2.5.1 to arrange with the Bank from time-to-time for advances to the Company by the way of loan or over draft or other credit facilities or accommodation with or without security and
- 2.2.5.2 to mortgage or charge all or any of the assets of the Company (including its goodwill and uncalled capital) and to sign, execute or authorise the affixing of the Common Seal of the Company to and deliver on behalf of the Company, any documents required by the Bank from time to time relating to or securing any advance to the Company or any liabilities of the Company to the Bank.

2.3 Authority of directors and other officers:

We certify that at the Meeting the following resolutions were duly passed:

- 2.3.1 that any cheque, draft, order to pay, bill of exchange, promissory note or other instruments shall be treated as duly endorsed by the Company if the endorsement is signed for or on behalf of the Company, whether or not the Company is the original payee of such instrument, by _____*;
- 2.3.2 that any application or request for the issue of any letter of credit, guarantee, indemnity or counter-indemnity or any instructions in relation to any letter of credit, guarantee, indemnity or counter-indemnity or any instructions with regard to any other transactions of any kind of the Company or with regard to any account of the Company with the Bank be effective if signed for or on behalf of the Company by _____*;
- 2.3.3 that any instructions with regard to the purchase or sale of or other dealings in, securities or documents of the Company or any foreign currency be effective if signed for or on behalf of the Company by _____*;
and
- 2.3.4 that any order to withdraw any or all money on any of the accounts of the Company with the Bank or instructions to deal with any securities, deeds or documents or other property, including safety deposit boxes and their contents, whatsoever be effective if signed by or on behalf of the Company by any two (2) of the directors (or) any director and the secretary _____.

2.4 To furnish the Bank with memorandum:

We certify that at the Meeting a resolution was duly passed that the Bank be furnished with an up-to-date copy of the memorandum and articles of association of the Company, the certificate of incorporation, the certificate of incorporation on change of name,** the certificate that the Company is entitled to commence business (the certificate of re-registration as a public company**)

2.5 To provide list of Directors:

We certify that at the Meeting a resolution was duly passed that the Bank be furnished with a list of the names of the directors, secretary and other officers of the Company and with specimens of their signatures and that the Bank be notified immediately in writing signed by any director or the secretary of any changes that may from time to time take place in these details and be entitled to act on any such notice or be entitled to act on any such notice and that until receipt of such notice the Bank may continue to treat the list most recently received as correct.

2.6 Effect of re-registration as public or private Company:

We certify that at the Meeting a resolution was duly passed that should the Company re-register as a public or private company subsequent to the execution of this Mandate, or effect any changes in the Memorandum or Articles of Association of the Company, that the Bank be furnished with such evidence without delay.

2.7 Bank to supply information to Auditors:

We certify that at the Meeting a resolution was duly passed that the Bank be authorised to provide the Company's Auditors for the time being and from time to time with such information as the Company's Auditors may request from time to time concerning any account or accounts of the Company or concerning any transactions or business of the Company with the Bank until notice in writing to the contrary is received by the Bank signed for or on behalf of the Company by _____.

2.8 To communicate resolutions to the Bank:

We certify that at the Meeting a resolution was duly passed that these resolutions be communicated to the Bank and shall constitute the Company's mandate to the Bank and remain in full force and effect until an amending resolution shall be passed by the board of directors and a copy of such resolution certified by any director or the secretary as being complete, true and up-to-date shall be communicated to the Bank.

3. Entry of resolutions in minute book

We certify that the above resolutions have been duly entered in the minute book of the Company and have been signed by the chairman and are in accordance with the articles of association of the Company and that such resolutions continue in full force and effect without amendment.

4. Indemnity

In consideration of the Bank complying with this mandate, the Company hereby undertakes to indemnify the Bank against any loss, charge or expense which the Bank may suffer or sustain thereby and to absolve the Bank from all liability for loss or damage which the Company may sustain from the Bank acting on this mandate.

5. Signatures of Directors etc.

ALL ALTERATIONS IN THIS FORM SHOULD BE INITIALLED BY ALL THE SIGNATORIES

Full names of Directors, Secretary and authorised signatories (if any):

Name and official position:

Signature:

Name and official position:

Signature:

Name and official position:

Signature:

Name and official position:

Signature:

Name and official position:

Signature:

Date

.....
Chairman

.....
Secretary

Date

Date

* A Separate list of authorised signatories is attached

** The Secretary encloses the following documents:

- (1) A copy certified to be true, complete and up to date, of the memorandum and articles of association.
- (2) Certificate of incorporation.
- (3) All certificates of Incorporation on change of name if applicable.
- (4) Certificate of Registrar of Companies that the Company is entitled to commence business. (This certificate is not required in the case of a private company or a company that has re-registered as a public Company.)
- (5) A certificate of re-registration as a public company (This certificate is only required from a Company that has re-registered as a public Company.)
- (6) A copy certified to be true, complete and up to date, of the resolutions, the text of which is set out above.

This form should be used for each individual

PERSONAL DETAILS

(Please complete all sections in BLOCK Capitals)

Director



Shareholder
(25% or more)



Officials



1. Business Name

2. Title and Surname Mr/Mrs/Miss/MsOther

3. Forenames
(including middle names)

4a. Current Residential Address

Country

b. Date of Entry to this address
(eg. 01/06/2005)

if less than 3 years,
please tell us your
last address

Post Code Country

Please attach a separate sheet if you have lived in more than 2 addresses in the last 3 years and state dates of entry.

5. Home Phone number (including country code)

Mobile number (including country code)

6. Email Address

7. Date of Birth 8. Nationality

9. Country of Birth 10. Dual Nationality

11. Place of Birth (town/city)

12. Country of permanent residence

13. Country of residence for Tax purposes

13a. Are you ordinarily resident in the UK for Tax purposes ☐ Yes ☐ No

13b. Do you have residence in the USA? ☐ Yes ☐ No

If yes, please provide
the address

c. Have you ever held a USA Green Card? ☐ Yes ☐ No

if Yes, Please state the social security number

d. Are either of your parents born in the USA or were USA Citizens? ☐ Yes ☐ No

Country of Residence for Tax Purposes and related Taxpayer Identification Number or functional equivalent (TIN)

Please complete the following table indicating (i) where the Individual is a tax resident and (ii) the Individual's TIN for each Reportable Jurisdiction indicated.

If the Individual is tax resident in more than three countries please use a separate sheet

If a TIN is unavailable please provide the appropriate reason **A**, **B** or **C** where indicated below:

Reason A - The country where you are liable to pay tax does not issue TINs to its residents

Reason B - The Individual is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)

Reason C - No TIN is required. (Note. Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed)

	Country of tax residence	TIN	If no TIN available enter Reason A, B or C
1			
2			
3			

Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason **B** above.

1	
2	
3	

Other Information

1. Do you have any other accounts with UBL UK? ☐ Yes ☐ No

If yes, please state account number

2. Security Questions? (used to verify your identity and when registering for Internet and Mobile banking)

2.1 QUESTION 1 Mother's Maiden Name Answer 1

2.2 QUESTION 2 Name of First School Answer 2

2.3 QUESTION 3 Favourite Famous Person Answer 3

4. Tick the box that applies to you ☐ Home owner ☐ Living with parents ☐ Rented ☐ Other/Please specify _____

5. Tick the box that applies to you ☐ Single ☐ Married ☐ Divorced or Separated ☐ Widowed

Additional Employment Details *(for employed and self-employed applicants)*

1. Your Occupation
 2. Your employer's name and address (or your business name and address if you are Self Employed)
 3. Daytime Phone no.
 4. How long have you been with the present employer or in your business?

Years
 Months
 5. How is your salary paid?

☐ Direct into your bank
 ☐ By cheque
 ☐ In cash
 6. What is your annual gross income in £'s?

Marketing Declaration

Your data is safe with us - we do not share your data for marketing outside UBL UK.

We promise to keep you informed with regards to our products and services that may be of specific interest to you. Please confirm below how we may contact you.

Post Yes ☐ No ☐ Telephone Yes ☐ No ☐ Email Yes ☐ No ☐

If you choose 'No' for all these options, we will not be able to tell you about any products or services we could supply.

Declaration and Signature

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Individual's relationship with UBL UK setting out how UBL UK may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding the Individual and any Reportable Account(s) may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Individual may be tax resident pursuant to intergovernmental agreements to exchange financial account information with the country/ies in which this account(s) is/are maintained.

I certify that I am authorised to sign for the Account in respect of all the account(s) to which this form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise UBL UK within 90 days of any change in circumstances which affects the tax residency status of the Individual identified in Personal details section of this form or causes the information contained herein to become incorrect or incomplete (including any changes to the information on controlling persons identified in company details section), and to provide UBL UK with a suitably updated self-certification and Declaration within 90 days of such change in circumstances.

I understand that I may be subject to a HMRC penalty of up to £300 if I intentionally decide not to provide the required information or if the information provided within this application form is found to be inaccurate.

Signature:*

Official Position:*

Print name:*

Date:*(dd/mm/yyyy)